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The effects of acceptance versus control contexts on avoidance of panic-related symptoms

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Abstract

The present study compared the effects of creating an acceptance versus a control treatment context on the avoidance of aversive interoceptive stimulation. Sixty high anxiety sensitive females were exposed to two 10-min periods of 10% carbon dioxide enriched air, an anxiogenic stimulus. Before each inhalation period, participants underwent a training procedure aimed at encouraging them either to mindfully observe (acceptance context) or to control symptoms via diaphragmatic breathing (control context). A third group was given no particular training or instructions. We hypothesized that an acceptance rather than control context would be more useful in the reduction of anxious avoidance. Compared to control context and no-instruction participants, acceptance context participants were less avoidant behaviorally and reported less intense fear and cognitive symptoms and fewer catastrophic thoughts during the CO₂ inhalations. We discuss the implications of our findings for an acceptance-focused vs. control-focused context when conducting clinical interventions for panic and other anxiety disorders.

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Keywords: Acceptance; Control; Context; Experiential avoidance; Carbon dioxide-enriched air; Panic; Anxiety

1. Introduction

Although individuals with anxiety disorders typically avoid situations and stimuli that have been associated with panic (Barlow, 2002), clinical researchers are now

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1 focusing on experiential avoidance, a more general type of avoidance. Experiential
2 avoidance refers to an individual's attempts and efforts to avoid, suppress, or
3 otherwise alter the form of negatively evaluated private events such as bodily
4 sensations, emotions, thoughts, and memories (Hayes, Wilson, Gifford, Follette, &
5 Strosahl, 1996). For instance, a person with agoraphobia not only avoids public
6 places but also avoids experiencing thoughts and emotions associated with panic in
7 these places (Friman, Hayes, & Wilson, 1998). When avoidance is not or no longer
8 possible, a person may then resort to actual escape behavior (Forsyth & Eifert,
9 1996). The function of experiential avoidance is to control or minimize the impact of
10 aversive experiences.

11 Clients typically consider avoidance and escape behavior to be the solution rather
12 than the problem. As a consequence, many clients are apprehensive about cognitive-
13 behavioral exposure-based strategies that target avoidance behavior and encourage
14 clients to approach feared situations and experience fearful emotions (Barlow &
15 Craske, 1994). Client receptivity of this strategy might be enhanced by employing
16 techniques from recently developed acceptance-based approaches in behavior
17 therapy (e.g., Hayes, Strosahl, & Wilson, 1999; Roemer & Orsillo, 2002; Teasdale,
18 Segal, & Williams, 1995). These approaches attempt to alter the impact of fear
19 emotions and cognitions by teaching clients to "let go of their struggle" through the
20 use of techniques aimed at reducing avoidance of *experiencing* anxiety rather than
21 reducing anxiety per se.

22 Metaphors are one technique to help patients learn to mindfully observe and
23 accept negatively valenced cognitive-affective responses. Metaphors employ
24 figurative language to synthesize emotionally relevant experiences in a noncon-
25 frontative and nonthreatening way. They help people recognize their behavioral and
26 emotional problems and point to possible, frequently unexpected, behavioral
27 alternatives (Heffner, Greco, & Eifert, 2003; McCurry & Hayes, 1992; Otto, 2000).
28 Metaphorical stories may also indirectly suggest contingencies, in which acceptance
29 is reinforced and emotional avoidance and control is punished. For instance, the
30 futility of fighting with one's own thoughts and feelings has been likened to being in
31 a tug of war with oneself where "good" thoughts attempt to fight "bad" thoughts
32 (Hayes et al., 1999; Heffner & Eifert, 2004). The harder one team pulls, the harder
33 the other team pulls back. Such a tug of war is exhausting and can never be won
34 because both teams belong to the client. Rather than continuing this senseless fight,
35 the metaphor suggests an acceptance solution that clients typically do not think of,
36 which is to end the fight in an instant by simply dropping the rope. All team
37 members would still be there and clients could observe and stay with their thoughts
38 and feelings simply watching them come and go.

39 Preliminary studies indicate that acceptance techniques produce an overall
40 decrease in clinically significant affective disturbance, particularly interoceptive-
41 oriented distress, over short as well as protracted time periods (Linehan, Armstrong,
42 Suarez, Allmon, & Heard, 1991; Strosahl, Hayes, Bergan, & Romano, 1998;
43 Teasdale et al., 1995). One treatment program (Kabat-Zinn et al., 1992) that
44 emphasized mindful observation of symptoms in a group of 22 patients with various
45

1 anxiety disorders found positive effects after treatment completion which were
2 maintained three years later (Miller, Fletcher, & Kabat-Zinn, 1995).

3 An acceptance rationale is also supported by research suggesting that client
4 attempts to control anxiety may have negative paradoxical effects (Ascher, 1989).
5 For example, Wegner (1994) found that attempts to control anxiety in the face of
6 ongoing stress exacerbate physiological arousal. Increased tension during relaxation
7 training was also reported in a study by Heide and Borkovec (1983). Likewise,
8 studies suggest that adding slow diaphragmatic breathing (“BR”) might not increase
9 the effectiveness of interoceptive exposure treatment for PD (Craske, Rowe, Lewin,
10 & Noriego-Dimitri, 1997) and even lead to poorer outcomes compared to treatment
11 without BR (Schmidt et al., 2000).

12 In a more general way, active coping efforts that attempt to minimize the
13 experience of anxiety may (paradoxically and unintentionally) maintain pathological
14 anxiety and increase the anxiogenic effects of interoceptive stimulation (Craske,
15 Street, & Barlow, 1989). For instance, Spira, Zvolensky, Eifert, and Feldner (2002)
16 found that avoidant coping strategies (e.g., denial, mental disengagement, substance
17 abuse) predicted more frequent and intense CO₂-induced physical and cognitive
18 panic symptoms than acceptance-based coping strategies. These findings are
19 consistent with earlier studies showing that attempts to avoid aversive private
20 events are largely ineffective and may be counterproductive (Cioffi & Holloway,
21 1993; Pennebaker & Beall, 1986).

22 We recently examined the effects of suppression versus acceptance on response to
23 an anxiety-producing CO₂ challenge in persons scoring either high or low on a
24 measure of emotional avoidance (Feldner, Zvolensky, Eifert, & Spira, 2003). Half of
25 the participants were instructed to inhibit the challenge-induced aversive emotional
26 state, whereas the other half was instructed to simply observe their emotional
27 response. Individuals high in emotional avoidance responded with greater levels of
28 anxiety and affective distress, but not physiological arousal, when attempting to
29 suppress compared to observing bodily sensations. No such difference was found in
30 the low emotional avoidance group. Further strong evidence that experiential
31 avoidance exacerbates aversive emotional responses and may constitute a risk factor
32 in the development and maintenance of anxiety disorders comes from a recent
33 experiment by Karekla, Forsyth, and Kelly (in press). After several trials of inhaling
34 CO₂ enriched air, individuals high in experiential avoidance endorsed more panic
35 symptoms, more severe cognitive symptoms, and more fear, panic, and uncontroll-
36 ability than their less avoidant counterparts. Interestingly, as in all our studies, the
37 magnitude of autonomic responses did not discriminate between groups.

38 Based on the acceptance rationale that was examined in a pain context (Hayes,
39 Bissett, Korn, Zettle, & Rosenfarb, 1999), we wanted to assess whether creating an
40 acceptance context compared to a control context leads to less behavioral avoidance
41 and self-reported anxiety in highly anxiety sensitive individuals. Anxiety sensitivity is
42 an individual difference dimension referring to the fear of arousal-related bodily
43 sensations based on the belief that such sensations have negative somatic or social
44 consequences (Reiss, Peterson, Gursky, & McNally, 1986). For example, if persons
45 believe bodily sensations are a sign of imminent personal harm, they will likely

1 experience elevated levels of anxiety when confronted with somatic perturbation. We
2 chose to examine highly anxiety sensitive individuals because a diminished sense of
3 control over terminating bodily sensations is particularly anxiety-provoking for
4 individuals that already find such somatic sensations aversive (Zvolensky, Eifert, &
5 Lejuez, 2001). Studies also indicate that anxiety sensitivity may act as a specific
6 vulnerability variable in the development of panic attacks (Donnell & McNally,
7 1990; Schmidt, Lerew, & Jackson, 1999), is elevated among persons with panic
8 disorder (Taylor, Koch, & McNally, 1992), predicts anxious responding to biological
9 challenge independent of other risk variables (Zvolensky & Eifert, 2001), and has
10 been associated with greater avoidance in individuals with pain-related fear
11 (Asmundson & Taylor, 1996).

12 In the current study, we focused on avoidance because it is a core aspect of anxiety
13 disorders and can be readily measured in terms of duration and frequency (Eifert &
14 Wilson, 1991). We measured avoidance as latency to begin inhaling CO₂-enriched
15 air. Inhalation of CO₂-enriched air functions as an unconditioned stimulus that
16 individuals work to avoid (Lejuez, O'Donnell, Wirth, Zvolensky, & Eifert, 1998) and
17 reliably produces episodes of autonomic arousal including shortness of breath,
18 tachycardia, sweating, and dizziness (Forsyth, Eifert, & Thompson, 1996). As such, it
19 is suitable as an experimental panic provocation strategy and anxiety analogue
20 (Zvolensky & Eifert, 2001).

21 We hypothesized that creating an acceptance context, rather than a context
22 emphasizing symptom control, would lead to less avoidance and subjective anxious
23 responding. Although there is not much research suggesting specific differences
24 between control context versus uninstructed participants, we suspected that attempts
25 to control essentially uncontrollable symptoms might have paradoxical negative
26 effects (Ascher, 1989; Hayes et al., 1996), and increase avoidance and anxiety in
27 control context compared to no-instructions participants. Physiological measures
28 were included as a "manipulation check" to ensure that all groups experienced
29 similar and sufficient levels of physiological responding.

31

32 2. Method

33

34 2.1. Participants

35

36 We screened 482 female undergraduates by administering the Anxiety Sensitivity
37 Index (ASI). We also administered a medical screening questionnaire routinely
38 employed in our laboratory (Forsyth & Eifert, 1998). This questionnaire asks
39 participants to indicate whether they had any medical problems such as heart
40 disease, epilepsy or a seizure disorder, hypertension, or lung disorders (e.g.,
41 emphysema). We also asked them to report any personal history of psychopathol-
42 ogy, including panic attacks and use of psychotropic medication. We excluded males
43 because females report higher levels of anxiety and are more frequently diagnosed
44 with panic disorder (Cleary, Burns, & Nycz, 1990). We then identified 79 females
45 with an ASI score greater than 27, which is one standard deviation above the mean

1 for college females (Peterson & Reiss, 1992). We excluded 12 potential participants
2 for medical reasons and seven of the remaining 67 females declined to participate
3 when contacted. We randomly assigned the final sample of 60 participants to an
4 acceptance context, control context, or no-instruction condition, with 20 participants
5 in each group. There were no significant between-group differences in age
6 ($M = 19.4$, $SD = 1.84$), race (95% Caucasian), or smoking behavior (23% cigarette
7 smokers). Participants were tested individually for 90 min and received optional
8 psychology course extra credit.

9 2.2. Measures

11 2.2.1. Screening measure

13 The ASI (Reiss et al., 1986) is a 16-item instrument in which respondents indicate
14 on a five-point Likert-type scale (0 = very little to 4 = very much) the degree to which
15 they are concerned about possible negative consequences of anxiety symptoms. The
16 ASI score is derived by summing all responses with total scores ranging from 0 to 64,
17 with higher scores reflecting greater anxiety sensitivity. The ASI manual reports a
18 mean of 18.2 with a standard deviation of 8.8 for college females in a nonclinical
19 sample. The ASI has high levels of internal consistency in clinical and nonclinical
20 populations (range of alpha coefficients: 0.79–0.90) and good test-retest reliability
21 ($r = 0.75$ for two weeks to $r = 0.70$ for three years; Peterson & Reiss, 1992).
22 Research also supports the criterion validity of the ASI and suggests that ASI
23 properties are not shared by measures of general (trait) anxiety (McNally, 1994).

25 2.2.2. Behavioral measures

27 We employed three behavioral measures in this study. (1) *Latency*. Each CO₂
28 administration began when the participant used the mouse to click the “next trial”
29 button on the computer. The computer recorded the latency to begin each of three
30 trials in seconds. (2) *Willingness to return*. At the end of the session, we asked
31 participants to indicate whether they were willing to return for another CO₂ study
32 for extra credit. Participants who endorsed willingness to return were contacted four
33 weeks post session and asked to return for a one-hour CO₂ session. We recorded the
34 number of return participants. These participants received extra credit and were told
35 their further participation was no longer needed. (3) *Drop-out*. We counted the
36 number of participants who withdrew before both CO₂ trials were completed.

37 2.2.3. Self report measures

39 The Anxiety Control Questionnaire (ACQ; Rapee, Craske, Brown, & Barlow,
40 1996) is a 30-item self-report instrument designed to assess perceived control over
41 anxiety-related events. Participants indicate on a six-point Likert-type scale
42 (0 = strongly disagree to 5 = strongly agree) the degree to which they agree with a
43 particular statement. The ACQ is scored by summing all responses (reverse scoring
44 when appropriate) with total scores ranging from 0 to 150. Lower scores indicate less
45 perceived control. The ACQ has excellent internal consistency in clinical and

1 nonclinical populations (total scale alpha: 0.87) and good test-retest reliability
($r = 0.88$ for 1 week to $r = 0.82$ for 1 month; Rapee et al., 1996).

3 Participants rated their level of discomfort before and during the CO₂ inhalation
5 on a Subjective Units of Distress scale (SUDS; Wolpe, 1982) ranging from zero (no
7 discomfort) to 10 (extreme discomfort). Participants also rated the unpleasantness of
9 the CO₂ on a scale from 0 (not unpleasant) to 10 (extremely unpleasant). SUDS and
11 unpleasantness ratings were displayed on the computer monitor, and participants
13 responded via the attached keyboard.

9 The Diagnostic Symptoms Questionnaire (DSQ) is a 16-item measure to assess
11 physiological reactivity to the CO₂ (Rapee, Brown, Antony, & Barlow, 1992). The
13 DSQ measures the presence and intensity of 12 somatic and three cognitive panic
15 symptoms. Intensity ratings for each endorsed symptom are made on a 9-point
17 Likert-type scale ranging from 0 (not at all) to 8 (very strongly felt). The DSQ yields
19 the following composite measures: total number of physical symptoms, catastrophic
21 and non-catastrophic thoughts, and mean intensity of physical sensations, cognitive
23 symptoms, and experienced fear.

17 The Acceptance and Action Questionnaire (AAQ; Hayes et al., in press) is a 9-item
19 self-report measure that assesses emotional avoidance and emotion-focused inaction.
21 Participants indicate on a 7-point Likert-type scale the degree to which a particular
23 statement applies to them (1 = never true to 7 = always true). Sample items include
25 "Anxiety is bad," "I'm not afraid of my feelings". High scores correspond to high
27 experiential avoidance and low scores indicate acceptance and commitment to
29 action. Research suggests a single factor structure for the AAQ and shows strong
31 positive correlations with several measures of depression and anxiety (Hayes et al., in
33 press).

27 At one-month follow-up, participants in the intervention conditions were phoned
29 and asked to recall the strategy they had been taught in the intervention phase.
31 Responses were recorded verbatim and subsequently coded to determine the amount
33 of detail recalled.

31 We used five criteria to determine whether participants remembered the key
33 components of each strategy based upon explanations and other instructions
35 participants had received during the intervention. For instance, acceptance
37 participants had to remember the use of the finger trap, the effects of pushing
39 fingers in, the effects of pulling fingers out, that pushing in was more effective than
41 pulling out, and use the word "acceptance" or a synonym. Control participants had
43 to remember focusing on breathing, breathing from the stomach, taking slow
45 breaths, thinking "relax" when exhaling, and use the word "control" or a synonym
(e.g., master). This resulted in a score from 0 to 5 depending on the number of points
recalled (criteria met). Inter-rater reliability (number of agreements divided by
number of agreements plus disagreements) of two trained independent raters scoring
the responses was 0.89. In cases of disagreement, a third rater read the participant's
response and made the final decision.

1 2.3. Manipulation check assessment

3 2.3.1. Exit questionnaire

5 As in the pain study by Hayes et al. (1999), we assessed the possibility that the
7 treatment conditions generated different demand characteristics. An exit ques-
9 tionnaire measured whether the participant attempted to use the therapeutic strategy
(yes or no), how effective she found the strategy (1=very helpful to 5=very
unhelpful), how much she enjoyed participating in the study (1=very much to
5=not at all), and how willing she was to return for a similar CO₂ study (yes or no).

11 2.3.2. Physiological measures

13 We used physiological measures (heart rate and skin conductance) as a
15 manipulation check. A Coulbourn Modular recording system assessed physiological
17 responding at a sample rate of 10 samples per second across all channels (± 5 V). All
19 channels were calibrated online prior to sampling. Heart rate was sampled in beats/
21 per minute (bpm) using a digital Coulbourn tachometer fed through a S75-01
23 bioamplifier and assessed via Medi-Trace pre-gelled Ag/AgCl electrodes. Heart rate
25 placement followed standard bilateral positioning on either side of the participant's
27 rib cage, with a third electrode below the collar bone on the participant's left side
serving as a ground. Skin conductance was assessed in microsiemens using a
Coulbourn S71-23 isolated skin conductance coupler. Electrode placement followed
a standard bipolar palmar configuration on the participant's less-dominant hand
using disposable 8-mm diameter Ag/AgCl electrodes coated with a 0.05 molar
concentration of NaCl. Disposable concentric adhesive collars were used to attach
the electrodes to the skin surface.

27 2.4. Materials and apparatus

29 2.4.1. Setting

31 All sessions were conducted in a 2-m \times 6-m research lab in the West Virginia
33 University Psychology Department. Participants were seated at a desk with a
Pentium microcomputer, SVGA color monitor, mouse, and keyboard. An intercom
allowed the participant to communicate with the experimenter. A one-way mirror
allowed observation of session events.

37 2.4.2. Arousal-inducing stimulus and gas delivery apparatus

39 The arousal-inducing stimulus was 10% carbon dioxide-enriched air (10% CO₂,
31% O₂, 59% N₂) administered twice for 10 min. This concentration was lower than
41 concentrations used in some of our previous studies (e.g., Forsyth & Eifert, 1998;
Zvolensky, Eifert, Lejuez, & McNeil, 1999). Lower concentrations might be more
43 suitable when experimental manipulations require participants to experience panic-
like symptoms for several minutes rather than seconds. The application of lower
45 concentrations might also mimic more closely the course of symptoms in naturally
occurring panic attacks where symptoms reach their peak within a period of 3–4 min

1 rather than 45 s (Barlow, Brown, & Craske 1994; for a thorough review of CO₂
2 challenge procedures, see Zvolensky & Eifert, 2001).

3 Participants wore a continuous positive pressure Downs C-Pap Mask (Vital Signs
4 Inc., Model No. 9000). The CO₂ was stored in a 10l cm cylinder and fed through a
5 5 cm × 5 cm hole via aerosol tubing from the experimenter room to a positive-
6 pressure downs C-pap mask worn by the participant. A Visual Basic program on the
7 participant's computer controlled CO₂ delivery. An automated apparatus, described
8 by Lejuez, Forsyth, and Eifert (1998), allowed delivery of either room air or 10%
9 CO₂-enriched air.

11 2.5. Experimental conditions and procedure

13 Upon arrival at the research lab, informed consent was obtained, and the
14 participant completed the demographic questionnaire, ACQ, and AAQ. All
15 participants were reminded they would be breathing air containing more carbon
16 dioxide than normal, and they might feel their heart racing and/or experience sweaty
17 palms as well as some dizziness and breathlessness. Following this general disclosure,
18 the directions and intervention differed for each of the conditions.

19 *Acceptance context* participants were taught the Chinese finger trap metaphor,
20 adapted from Hayes et al. (1999). The finger trap is a woven straw tube, which is
21 15 cm long and 1 cm in diameter. First, a person must slide both index fingers into
22 the woven straw tube, one finger at each end. If the person attempts to pull the
23 fingers out, the tube catches and tightens causing discomfort. The only way to get
24 out of the trap is to push the fingers in *first* and *then* slide them out. Even if they do
25 not slide them out, pushing the finger in will give persons more space to maneuver
26 (literally some “wiggle room”). In contrast to the procedure described by Hayes et al.
27 (1999), we not only presented the metaphor verbally, but also allowed the participant
28 to experience it with an actual finger trap. This experiential component could serve
29 to enhance the credibility and effectiveness of the metaphor. Our goal was to let
30 participants discover that attempting to reduce and control essentially uncontrol-
31 lable symptoms, while seemingly logical and understandable (like pulling out of the
32 finger trap), only more tension and perpetuates the struggle: the harder you pull, the
33 more the trap tightens, resulting in more discomfort and pain. In contrast, doing
34 something counterintuitive, observing and “leaning into the symptoms” (pushing the
35 fingers *in* rather than *out*), will end the struggle and give the individual space to
36 move.

37 *Control context* participants were taught a 10-min standard diaphragmatic
38 breathing strategy. The core features included breathing with the diaphragm,
39 focusing attention on rate and depth of breathing, and thinking “relax” on exhale.
40 We told participants that this breathing strategy might help them gain control over
41 symptoms they may experience during the subsequent CO₂ administration. Our goal
42 was to create a context of control during a period of aversive interoceptive
43 stimulation similar to what many panic patients find themselves in when they
44 attempt to reduce the impact, intensity, and duration of aversive interoceptive
45 distress during a panic attack.

1 *No-instruction* participants received no further instructions but waited 10 min to
2 control for time while the investigator was in the adjacent room. Then they received
3 the same CO₂ administration as participants in the other two groups.

4 After receiving the appropriate instructions and intervention, participants moved
5 to a seat in front of the computer screen. The experimenter ensured the electrodes
6 and C-pap mask were fitted properly. We told participants in all three groups that
7 they would first be breathing normal room air, followed by three periods of CO₂
8 delivery, each several minutes long. There would be a rest period before each trial,
9 and a trial would not start until they clicked the start-trial button on the computer
10 screen. The length of time they spent resting would not affect the intensity or
11 duration of the CO₂ inhalations.

12 The initial computer screen prompted the participant to make a SUDS rating and
13 predict the unpleasantness of the CO₂ trial. The next computer screen prompted the
14 participant to begin the CO₂ trial by clicking the start-trial button with the mouse.
15 The computer recorded the time between presentation of this screen and the click of
16 the button. The mouse click immediately turned the computer screen blank and
17 began the 10-min 10% CO₂ delivery. At the offset of the CO₂, the computer
18 prompted the participant to click the mouse to begin the second trial and recorded
19 the latency between this screen presentation and the participant's start-trial mouse
20 click. Then the computer prompted to rate SUDs and unpleasantness of Trial 1.

21 Before Trial 2 actually began, the experimenter re-entered the experimental room
22 for a 5-min period to review the intervention procedure and instructions. Acceptance
23 context participants completed the Chinese finger trap exercise and again discussed
24 the meaning of the metaphor. Control context participants once again practiced the
25 diaphragmatic breathing procedure. In the no-instruction condition, participants
26 simply waited for 5 min. Then the second 10-min CO₂ inhalation period occurred,
27 which was immediately followed by the prompt to click the mouse to begin Trial 3.
28 The computer recorded the latency between the offset of CO₂ and the click of the
29 "next trial" button. Then participants made SUDS and unpleasantness ratings for
30 the previous Trial 2. A subsequent computer screen informed participants that Trial
31 3 would be aborted because enough data had been collected. Thus, all subjects were
32 only given two CO₂ inhalation trials. The reason we led them to believe there would
33 be a third trial was simply so that we could obtain another latency measure after
34 Trial 2. The experimenter removed the C-pap mask and asked participants to remain
35 in their chair for another 10 min to collect physiological baseline data. Finally, all
36 electrodes were removed and participants completed the DSQ and the exit
37 questionnaire.

38 Although we typically collect baseline data before CO₂ trials (e.g., Feldner et al.,
39 2002; Zvolensky et al., 2001), we chose to collect post-task baseline data in this study
40 because we did not want a pre-task baseline waiting period to interfere with the
41 latency/avoidance measure, which was one of our major dependent variables. We
42 were concerned that if participants sat for 10 min waiting while we collected baseline
43 data, they would want to begin the CO₂ trial right away to escape boredom, which
44 could have confounded this latency measure. Baseline measures taken after the
45 experiment have the drawback of being a return to baseline rather than a true

1 baseline. However, pre-experimental baselines may also not be “true baselines”
2 when participants anticipate an aversive event and consequently experience elevated
3 basal levels of skin conductance or HR. Although one could argue that residual
4 effects of the CO₂ inhalation might have contaminated the post-task baseline,
5 previous studies in our lab (e.g. Feldner et al., 2003; Spira et al., 2002; Zvolensky
6 et al., 1999) have shown that the typical response to CO₂ inhalation is short-lived
7 and that participants quickly return to normal level of physiological responding.
8 Also, even if there was such contamination it should have been equal across groups.
9 On balance, it seemed an extensive baseline resting period at the end of the study
10 would serve the purposes of this study better than a typical pre-task baseline of a
11 shorter duration.

13

15 3. Results

17 3.1. Data analytic strategy

19 3.1.1. Behavioral avoidance measures

21 We analyzed the latency to begin each trial using group × time analysis of variance
22 (ANOVA). All significant interaction effects were tested by examining simple effects
23 using the Bonferroni correction procedure to control for family wise error rate (0.05/
24 number of comparisons, Keppel, 1991). The other avoidance measures (drop-out,
25 return visit) were analyzed separately using a Chi-square statistic.

27 3.1.2. Self-report measures

29 Using ANOVA we first examined pre-experimental questionnaire data to
30 determine whether the participants in the three experimental conditions differed in
31 terms of self-reported anxiety. We then analyzed self-reported SUDS and
32 Unpleasantness ratings for the first and second CO₂ inhalations using a
33 group × time ANOVA. We analyzed self-reported intensity of physiological,
34 cognitive, and experienced fear symptoms reported on the DSQ with ANOVAs
35 using the Bonferroni correction procedure to control for family wise error rate.
36 ANOVAs were also conducted to determine group differences in the number of
37 catastrophic thoughts reported by each group on the DSQ as well as group
38 differences for key individual items. Finally, we used ANOVA to examine between-
39 group differences on the 4-week recall measure.

41 3.1.3. Physiological measures

43 After screening for outliers due to sampling error (e.g., participant movement), we
44 selected 15 random seconds from every minute of each 10-min phase (Trial 1, Trial 2,
45 post-task baseline) and calculated the mean of these 150 measures as the heart rate
(bpm) and skin conductance (mS) for that phase. We then used a mixed 3 (group) × 3
(phase) ANOVA to assess physiological responsiveness.

1 3.1.4. Estimates of effect size

Effect size was indexed via η^2 to evaluate approximate variance accounted for by a
3 specific effect according to the following ranges: large effects $\eta^2 \geq 0.45$, medium
effects $\eta^2 = 0.13$ to 0.44, and small effects $\eta^2 = 0.02$ to 0.12 of variance (Cohen,
5 1988).

7 3.2. Pre-experimental and manipulation check data

9 3.2.1. Pre-experimental questionnaires

Table 1 shows the pre-experimental questionnaire scores for the three conditions.
11 ANOVAs revealed that the groups did not differ pre-experimentally on self-reported
anxiety sensitivity, perception of control, experiential avoidance, predicted
13 unpleasantness, or subjective unit of discomfort ratings.

15 3.2.2. Physiological responding

Table 1 presents mean heart rate and skin conductance change scores for the three
17 groups. For both measures, mixed 3 (group) \times 3 (trial) ANOVAs yielded a main
effect for trial, (heart rate: $F(2, 32) = 28.45$, $p < 0.001$, $\eta^2 = 0.61$; skin conductance:
19 $F(2, 33) = 4.85$, $p < 0.01$, $\eta^2 = 0.23$). This pattern of results reveals that heart rate
was higher during Trial 1 ($M = 7.50$, $SD = 2.79$) and Trial 2 ($M = 6.98$, $SD = 6.33$)
21 than during the post-task baseline. Skin conductance was also higher during Trial 1
($M = 0.12$, $SD = 0.21$) and Trial 2 ($M = 0.07$, $SD = 0.18$) than during the post-task
23 resting period. There were no physiological response differences between groups
during the inhalation trials as indicated by the lack of an interaction between trial
25 and group for both measures.

We performed a one-way ANOVA with follow-up Bonferonni post-hoc
27 comparisons for both heart rate and skin conductance post-task baseline data. As
expected, we found no differences between the baseline heart rate scores of the
29 acceptance ($M = 108.82$) control context ($M = 111.33$) and no-instruction
($M = 105.87$) groups ($F(2, 37) = 1.140$, ns). There were also no differences between
31 the mean post-task skin conductance scores of the acceptance context ($M = 1.42$),
control context ($M = 1.35$), and no-instruction ($M = 1.46$) groups ($F(2, 38) = 0.97$,
33 ns).

35 3.2.3. Exit questionnaire

Table 1 shows that all participants in both active conditions reported using the
37 described strategy. There were no differences on ratings of helpfulness of the strategy
or enjoyment of the study.

39 3.3. Experimental results

41 3.3.1. Behavioral responding

Fig. 1 shows the mean latency for each of the three trials across all conditions. A
43 mixed 3 (trial) \times 3 (group) ANOVA yielded a main effect for trial, ($F(2, 46) = 8.10$,
45 $p < 0.001$, $\eta^2 = 0.26$). The interaction between trials and group also was significant,

1 Table 1
 2 Means (and standard deviations) of measures for all three conditions

	Acceptance-context	Control-context	No instruction
Pre-experimental			
5 Anxiety sensitivity index	32.1 (6.2)	31.0 (5.3)	32.1 (6.3)
6 Anxiety control questionnaire	92.7 (17.4)	84.3 (22.9)	87.2 (17.2)
7 Acceptance & action questionnaire	34.9 (6.1)	37.2 (8.9)	36.0 (7.1)
8 SUDS rating	2.9 (1.8)	2.4 (1.9)	3.4 (2.0)
9 Predicted unpleasantness rating	6.1 (1.9)	5.9 (2.1)	6.1 (2.1)
Experimental			
11 Unpleasantness rating			
12 Trial 1	4.2 (2.1)	4.8 (2.3)	5.9 (2.3)
13 Trial 2	3.6 (2.6)	3.7 (2.7)	4.5 (3.2)
SUDS rating			
15 Trial 1	4.1 (1.7)	4.6 (1.9)	5.4 (2.1)
16 Trial 2	3.3 (2.2)	3.4 (2.8)	4.3 (3.1)
Heart rate (bpm change score)			
17 Trial 1	7.0 (5.9)	7.6 (6.6)	7.7 (9.5)
18 Trial 2	6.3 (5.7)	6.6 (6.9)	7.9 (6.6)
19 Skin conductance (<i>mS</i> change score)			
20 Trial 1	0.17 (0.31)	0.08 (0.15)	0.1 (0.1)
21 Trial 2	0.08 (0.21)	0.05 (0.08)	0.1 (0.2)
Post-experimental			
23 Diagnostic symptoms questionnaire			
24 Fear of losing control	0% ^a	42% ^b	28% ^b
25 All catastrophic thoughts	0.5 ^a (0.8)	1.7 ^b (1.5)	1.6 ^b (2.0)
Behavioral measures			
27 Willing to return for points	95% ^a	63% ^b	75% ^b
28 Actual return for points	63% ^a	33% ^b	8% ^b
29 Drop-out rate	0% ^a	20% ^b	25% ^b
30 Attempt to use strategy	100%	100%	
31 Helpfulness of strategy (1–5)	1.8 (0.6)	1.9 (0.7)	
32 Enjoyment of experiment (1–5)	2.1 (1.2)	1.8 (1.2)	1.7 (1.3)
33 Recall of strategy	2.5 ^a (1.4)	1.7 ^b (0.5)	

34 Note: Scores that have different superscripts are significantly different from each other ($p < 0.05$). Scores that share the same superscript are not significantly different from each other.

35 ($F(2, 47) = 3.74, p < 0.03, \eta^2 = 0.14$). Although the groups did not differ on the
 37 latency measure for Trials 1 and 2, the control context group took significantly
 38 longer to begin Trial 3 than the acceptance group, ($F(1, 33) = 7.46, p < 0.01,$
 39 $\eta^2 = 0.18$). The no-instruction group did not differ from either acceptance or control
 40 context groups on the third latency measure.

41 Further analysis suggests that the control context group sensitized to the CO₂
 42 effects. A Repeated Measures ANOVA shows that participants in this group took
 43 progressively longer to begin the trials, with a longer latency to begin Trials 2 and 3
 44 compared to Trial 1, ($F(2, 14) = 4.54, p < 0.02, \eta^2 = 0.23$). We also found a
 45 significant trial effect for latency differences in the acceptance group

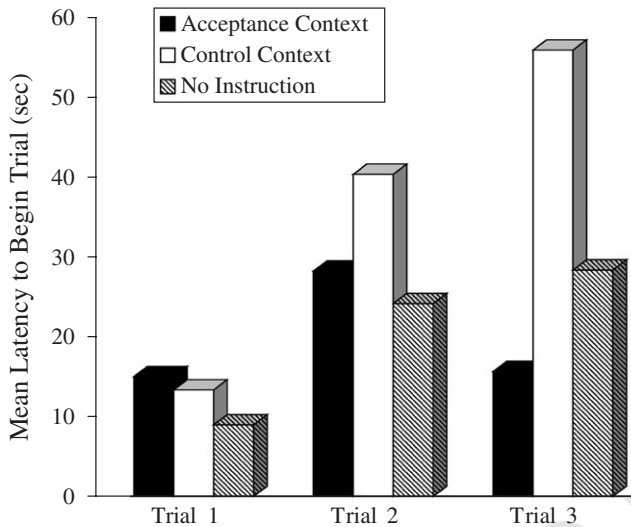


Fig. 1. Mean latency, in seconds, to begin each CO₂ trial for all three conditions.

($F(2, 17) = 3.55, p < 0.05, \eta^2 = 0.29$). Specifically, latency to begin Trial 2 was longer compared to Trials 1 and 3, which were not significantly different from each other. There was no effect for trial for the no-instruction group ($F(2, 13) = 3.30, p < 0.07, \eta^2 = 0.34$).

There were significant differences in the dropout rates between our groups ($\chi^2(2) = 5.50, p < 0.06, \eta^2 = 0.09$). A total of 9 participants (4 control context, 5 no-instruction) withdrew from the study prior to completion of both trials, whereas all acceptance participants completed both trials (see Table 1). Acceptance context participants were less likely to dropout than participants in the control context ($\chi^2(1) = 4.44, p < 0.03, \eta^2 = 0.11$) and no-instruction condition ($\chi^2(1) = 5.71, p < 0.02, \eta^2 = 0.14$). Acceptance context participants expressed more willingness to return for another session than control context or no-instruction participants ($\chi^2(2) = 7.8, p < 0.02, \eta^2 = 0.07$). Compared to no-instruction participants, acceptance participants were also more likely to actually return for another session ($\chi^2(2) = 10.35, p < 0.006, \eta^2 = 0.20$), whereas control context participants did not differ from the other groups on this measure.

3.3.2. Self report

Table 1 shows the SUDS and unpleasantness ratings for the two trials. There were no significant group differences in SUDS ratings for Trial 1 ($F(2, 54) = 2.54, p < 0.08$) and Trial 2 ($F(2, 48) = 0.69$). Likewise, there were no differences in unpleasantness ratings for Trial 1 ($F(2, 54) = 2.90, p < 0.06$) and Trial 2 ($F(2, 48) = 0.52$).

Fig. 2 shows intensity of physiological, cognitive, and experienced fear symptoms, as reported on the DSQ. A Multiple Analysis of Variance (MANOVA) was

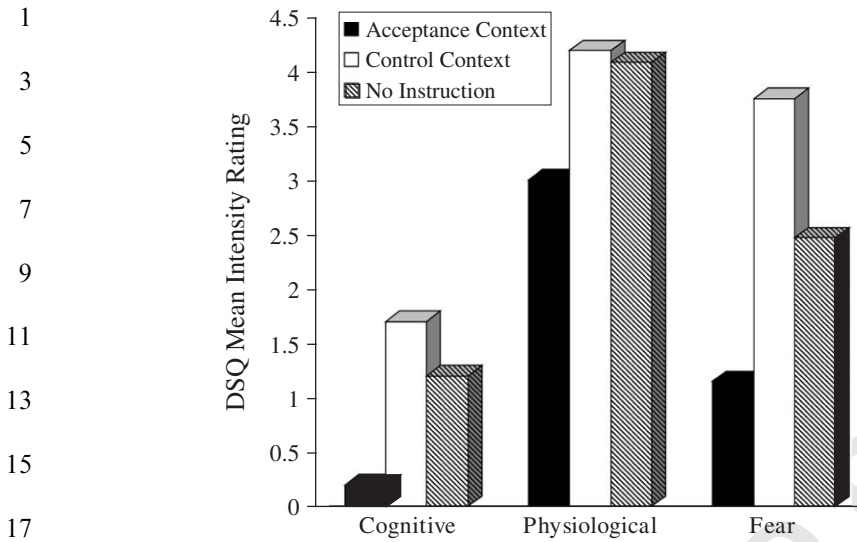


Fig. 2. Mean intensity of physical and cognitive symptoms and experienced fear as reported on the diagnostic symptoms questionnaire.

performed with 3 dependent variables: cognitive, physical, and fear symptoms. As this MANOVA was significant ($F(6, 108) = 2.46, p < 0.03$), we performed follow-up ANOVAs with Bonferroni post-hoc tests. These follow-up analyses revealed that acceptance participants reported less intense cognitive symptoms and experienced less fear than control context participants, but acceptance participants did not report less intense physiological symptoms than control context participants. No-instruction participants were not significantly different from either acceptance or control participants on intensity of cognitive, physiological, and experienced fear symptoms.

Catastrophic thoughts, as measured by the DSQ, correlated with avoidance behavior in terms of drop out ($r = 0.43, p < 0.01$) and willingness to return for another session ($r = -0.50, p < 0.01$). Thus, participants who engaged in catastrophic thinking during the trials were more avoidant than those who did not engage in catastrophic thinking. The groups differed on self-reported number of catastrophic thoughts during the CO₂ trials, ($F(2, 54) = 3.93, p < 0.03, \eta^2 = 0.13$). Acceptance participants reported fewer catastrophic thoughts than control context ($F(1, 37) = 9.98, p < 0.003, \eta^2 = 0.21$) and no-instruction participants ($F(1, 36) = 5.12, p < 0.03, \eta^2 = 0.13$). Control context participants did not differ from no-instruction participants on number of endorsed catastrophic thoughts. Specifically, acceptance participants endorsed two of the six catastrophic thought items less often than the other participants: “I am going to lose control” ($\chi^2(2) = 10.18, p < 0.01, \eta^2 = 0.18$) and “I need help” ($\chi^2(2) = 8.23, p < 0.01, \eta^2 = 0.14$). None of the acceptance participants endorsed “I am going to lose control,” whereas 42% in the control context ($\chi^2(1) = 10.59, p < 0.001, \eta^2 = 0.27$)

1 and 28% in the no-instruction conditions ($\chi^2(1) = 6.4, p < 0.01, \eta^2 = 0.17$) endorsed
2 this item. Likewise, none of the acceptance participants endorsed “I need help”,
3 whereas 32% of control context ($\chi^2(1) = 7.46, p < 0.01, \eta^2 = 0.19$) and 33% of no-
4 instruction participants ($\chi^2(1) = 7.92, p < 0.01, \eta^2 = 0.21$) endorsed this item.
5 Control context and no-instruction participants did not differ on the endorsement
6 of any of the catastrophic thought items.

7 **Table 1** shows the percent recall of participants four weeks post-session.
8 Acceptance participants recalled their strategy better than control context
9 participants ($F(1, 33) = 4.64, p < 0.04, \eta^2 = 0.12$).

11

12 4. Discussion

13

14 The aim of this study was to compare the effects of creating an acceptance versus
15 control context during aversive interoceptive stimulation. Consistent with our
16 hypothesis, acceptance context participants, compared to control context partici-
17 pants, began the final CO₂ trial sooner and were more likely to return for a similar
18 study. Control context participants took progressively longer to begin the trials. In
19 fact, control context participants may have taken progressively longer to initiate
20 trials because they used delay as a means of control. Hence, taking longer may not
21 only be an avoidance strategy, but rather a control-based strategy for such
22 participants. Acceptance participants reported fewer and less intense cognitive and
23 fear symptoms, engaged in less catastrophic thinking, and reported no fear of losing
24 control or needing help. Overall, our results support creating an acceptance context
25 during anxiety interventions and suggest that attempts to control physiological and
26 cognitive components of anxiety, in the face of ongoing essentially uncontrollable
27 stress, may exacerbate anxiety and distress. Similar findings were obtained in studies
28 by Hayes and associates (1999) and Forsyth, Roche, and Maher (2003) where
29 acceptance rather than control strategies led to greater pain tolerance during a cold
30 pressor task and less focus on negative thoughts and feelings.

31 Our results support findings from the emotional processing literature (Foa &
32 Kozak, 1986) showing that anxious individuals do best under conditions in which
33 they make no attempt to escape from or otherwise reduce the effects of fear
34 experienced during exposure (Craske et al., 1989; Kamphuis & Telch, 2000). In
35 contrast to control efforts, and by avoiding “false safety aids” such as breathing
36 control (Schmidt et al., 2000), the acceptance context is more likely to foster optimal
37 emotional processing resulting in less fear and catastrophic thinking. Although an
38 earlier study (Kabat-Zinn et al., 1992; Miller et al., 1995) demonstrated the beneficial
39 effects of mindful observation and acceptance of interoceptive symptoms during
40 treatment of panic and generalized anxiety disorder, that study did not directly
41 compare acceptance versus control contexts. Our study provided a direct
42 comparison and included multiple measures of behavioral avoidance.

43 There are a number of caveats that warrant consideration when interpreting our
44 results. As we encouraged control context participants to engage in diaphragmatic
45 breathing, these participants could have inhaled more CO₂ than participants in the

1 other groups. If that had been the case, however, control participants should have
2 experienced greater physiological arousal and reported more intense physical
3 symptoms. Our results show that this was not the case. There were no heart rate or
4 skin conductance differences between groups for either trial, and there were no
5 between-group differences on self-reported intensity of physiological symptoms.
6 These findings are in line with previous studies using CO₂ challenges also showing no
7 between-group differences in *physiological* responsiveness to the CO₂ challenge
8 (Karekla et al., in press; Levitt, Brown, Orsillo, & Barlow, in press; Zvolensky et al.,
9 1999; Zvolensky, Lejuez, & Eifert, 2000), which is probably due to the unconditioned
10 stimulus characteristics of the CO₂ procedure (Forsyth et al., 1996). Nonetheless,
11 future studies should monitor CO₂ levels by using a capnograph to ensure that CO₂
12 levels in all conditions.

13 Although there were no differences on self-reported intensity of physiological
14 symptoms, control participants reported more intense cognitive and subjective fear
15 symptoms than acceptance context participants. Control participants engaged in
16 more catastrophic thinking, possibly because they expected to be able to reduce
17 symptoms by using the breathing technique. Yet when symptoms persisted, they
18 might have feared they had lost control over the situation, which could have resulted
19 in even more catastrophic thinking. Both the physiological and relevant self-report
20 data suggest that diaphragmatic breathing did not produce stronger physiological
21 arousal among control context participants that could account for the *subjective*
22 *experience* of greater fear and cognitive symptoms compared to acceptance context
23 participants.

24 There was no clear pattern to the no-instruction group's responding. On some
25 measures, the no-instruction group differed from acceptance but not control context
26 group responding (e.g., drop-out rate, catastrophic thought endorsement). On other
27 measures (e.g., Trial 3 latency, DSQ), no-instruction participants did not differ from
28 either of the active groups. No-instruction participants were not taught to use a
29 specific coping strategy, so we do not know what particular strategy, if any, they
30 used during the provocations. Future studies should make specific efforts to assess
31 what participants do during CO₂ provocations. An exit questionnaire and/or
32 interview should specifically assess to what extent participants tried to control/
33 reduce symptoms and how they attempted to do that.

34 Our study was not conducted in a double-blind fashion, and the first author who
35 conducted the intervention was aware of the hypothesis and may have appeared
36 more convincing when delivering the acceptance strategy. On the other hand,
37 acceptance participants did not perceive their strategy as more helpful than control
38 participants, and both groups rated their respective strategy equally effective.
39 Likewise, there were no group differences in terms of enjoyment of participation.

40 We used the exit questionnaire to evaluate demand characteristics related to
41 potential differences in the way the techniques were delivered. However, this
42 questionnaire may not have been so much a measure of credibility as it was a
43 measure of technique satisfaction. Credibility is usually assessed after the technique
44 is described but before participants actually apply it. So future studies should include
45 a questionnaire administered to participants after instruction and training, but

1 before they apply the technique during exposure, to assess for understanding of the
instructions, credibility of intervention, and expectation for control over panic
3 symptoms. Questions regarding satisfaction and helpfulness of the technique should
continue to be assessed after participants apply the technique.

5 The two active conditions not only differed in terms of how to deal with symptoms
but also may have created somewhat different expectancies (“I will be able to reduce
7 aversive sensations” versus “I will not be able to control aversive sensations so I
should try to stop fighting them”). On the other hand, participants in both active
9 conditions were implicitly led to believe that their particular technique would be
helpful to them, and we found no post-experimental differences on perceived
11 helpfulness of the two strategies. Moreover, our primary intention was not to
influence or change expectations but to encourage a particular class of behavior
13 (acceptance vs. control) during an aversive experience. Nonetheless, future research
could systematically vary context/strategies and outcome expectations to determine
15 their relative impact on anxiety and avoidance.

As we selected individuals merely on the basis of high anxiety sensitivity levels, it is
17 unclear whether our findings and conclusions can be generalized to individuals with
actual panic disorder. We are encouraged, however, by the results of a recent study
19 examining the effects of accepting versus suppressing the effects of a CO₂ challenge
in clients with panic disorder (Levitt et al., in press). This study found an almost
21 identical pattern of results as we did. The acceptance group was significantly less
anxious and less avoidant than the suppression or no-instruction control groups but
23 the groups did not differ in terms of self-reported panic symptoms or physiological
responses. Clients in that study were simply instructed to either accept or suppress
25 their responses to the CO₂ challenge. Future studies will have to examine whether the
additional use of metaphors is more beneficial than simply instructing clients what to
27 do. Future research also must determine whether having clients act out the metaphor
as in our study is more salient and effective than delivering the metaphor verbally as
29 reported by Hayes et al. (1999).

Finally, we should note that acceptance and control techniques are not mutually
31 exclusive and are already combined in existing empirically supported panic
treatments (Barlow & Craske, 1994). It may be crucial for patients to learn,
33 however, that control and acceptance are probably most useful at different stages of
the “panic cycle”. Breathing control and relaxation techniques may serve to reduce
35 high baseline arousal to *prevent* a panic attack, but they are not particularly effective
for reducing symptoms in the middle of an attack. Once a panic attack has started, it
37 is likely to run its course—attempts to control and eliminate symptoms at that stage
only tend to make matters worse (Zvolensky et al., 2000). At that point, acceptance
39 strategies would actually be a better coping strategy for patients to deal with the
aversive, but basically harmless, panic attack symptoms. Although our findings
41 provide encouraging support for creating a more explicit acceptance treatment
context, it is now necessary to compare the effects of a control vs. acceptance context
43 with a clinical sample.

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